

## EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2019  
[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the  
Companies (Management and Administration) Rules, 2014]

### Form No. MGT- 9

#### I. REGISTRATION AND OTHER DETAILS:-

i.	CIN	U67190MH2000PTC124750
ii.	Registration Date:	08/03/2000
iii.	Name of the Company:	A.K. Equities Private Limited
iv.	Category of the Company	Company limited by Shares
	Sub-Category of the Company	Non - Government company
v.	Address of the Registered office and contact details:	4 <sup>th</sup> Floor, Kalpataru Heritage, 127, M.G. Road, Fort, Mumbai – 400001. Tel No.: 022 - 61402500
vi.	Whether listed company	Unlisted
vii.	Name, Address and Contact details of Registrar and Transfer Agent, if any	Not Applicable.

#### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:-

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Security contracts brokerage	66120	100%

#### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and address of the company	CIN/GLN	Holding/Su bsidiary/As sociate	% of shares held	Applicable Section
1	Fine Estates Private Limited Add: 4 <sup>th</sup> Floor, Kalpataru Heritage, M.G.Road, Fort, Mumbai 400 001	U70100MH1989PTC054543	Holding	51%	2(46)

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity):-**

**i) Category-wise Share Holding**

Category of Shareholders	No. of Equity Shares held at the beginning of the year as on April 01, 2018				No. of Equity Shares held at the end of the year as on March 31, 2019				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>Promoters</b>									
<b>(1) Indian</b>									
a) Individual/HUF	Nil	24,50,000	24,50,000	49.00	Nil	24,50,000	24,50,000	49.00	Nil
b) Central Govt	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
c) State Govt (s)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
d) Bodies Corp.	Nil	25,50,000	25,50,000	51.00	Nil	25,50,000	25,50,000	51.00	Nil
e) Banks / FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
f) Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Sub-total (A) (1)</b>	<b>Nil</b>	<b>50,00,000</b>	<b>50,00,000</b>	<b>100.00</b>	<b>Nil</b>	<b>50,00,000</b>	<b>50,00,000</b>	<b>100.00</b>	<b>Nil</b>
<b>(2) Foreign</b>									
a) NRIs –Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b) Other Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
c) Bodies Corp./FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
d) Banks / FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
e) Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Sub-total (A) (2)</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
<b>Total shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	<b>Nil</b>	<b>50,00,000</b>	<b>50,00,000</b>	<b>100.00</b>	<b>Nil</b>	<b>50,00,000</b>	<b>50,00,000</b>	<b>100.00</b>	<b>Nil</b>
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b) Banks / FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
c) Central Govt	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
d) State Govt(s)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
e) Venture Capital Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
f) Insurance Companies	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
g) FIs	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
h) Foreign Venture Capital Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
i) Others (specify)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Sub-total (B)(1)</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
<b>2. Non-Institutions</b>									
<b>a) Bodies Corp.</b>									
i. Indian	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
ii. Overseas	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>b) Individuals</b>									

i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>c) Others (Specify)</b>									
Non Resident Indians	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Overseas Corporate Bodies	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Foreign Nationals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Trusts	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Foreign Bodies – DR	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Sub-total (B)(2)</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
<b>Total Public Shareholding (B)=(B)(1)+ (B)(2)</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
<b>C. Shares held by Custodian for GDRs and ADRs</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
<b>Grand Total (A+B+C)</b>	<b>Nil</b>	<b>50,00,000</b>	<b>50,00,000</b>	<b>100.00</b>	<b>Nil</b>	<b>50,00,000</b>	<b>50,00,000</b>	<b>100.00</b>	<b>Nil</b>

**ii) Shareholding of Promoters:-**

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year April 01, 2018			Shareholding at the end of the year March 31, 2019			% change in shareholding during the year
		No. of Equity shares	% of total shares to the Company	% of shares Pledged/ Encumbered to total shares	No. of Equity shares	% of total shares to the Company	% of shares Pledged/ Encumbered to total shares	
1	Fine Estates Private Limited	25,50,000	51.00	Nil	25,50,000	51.00	Nil	Nil
2	Mr. Vijay Choraria	24,50,000	49.00	Nil	24,50,000	49.00	Nil	Nil
	<b>Total</b>	<b>50,00,000</b>	<b>100.00</b>	<b>Nil</b>	<b>50,00,000</b>	<b>100.00</b>	<b>Nil</b>	<b>Nil</b>

iii) Change in Promoters' Shareholding (please specify, if there is no change):-

Sr. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	There is no change in Promoters' shareholding from April 01, 2018 to March 31, 2019.			
2	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)				
3	At the end of the year				

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs): NA

Sr. No.	For each of the Top 10 shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	Not Applicable			
2	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)				
3	At the end of the year				

v) Shareholding of Directors and Key Managerial Personnel:-

Sr. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Equity shares	% of total shares of the company	No. of Equity shares	% of total Equity shares of the company
	<b>Directors</b>				
1	<b>Mr. Vijay Choraria*</b>				
	At the beginning of the year	24,50,000	49.00	24,50,000	49.00
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)	Nil			
	<b>At the end of the year</b>	<b>24,50,000</b>	<b>49.00</b>	<b>24,50,000</b>	<b>49.00</b>

\*Mr. Vijay Choraria resigned as Director w.e.f February 15, 2019.

## V. INDEBTEDNESS:-

Indebtedness of the Company including interest outstanding/accrued but not due for payment

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	Nil	5,53,00,000	Nil	5,53,00,000
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
<b>TOTAL (i + ii + iii)</b>	<b>Nil</b>	<b>5,53,00,000</b>	<b>Nil</b>	<b>5,53,00,000</b>
<b>Change in Indebtedness during the financial year</b>				
Addition	Nil	40,00,000	Nil	40,00,000
Reduction	Nil	78,00,000	Nil	78,00,000
<b>Net Change</b>	<b>Nil</b>	<b>(38,00,000)</b>	<b>Nil</b>	<b>(38,00,000)</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	Nil	5,15,00,000	Nil	5,15,00,000
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
<b>TOTAL (i+ii+iii)</b>	<b>Nil</b>	<b>5,15,00,000</b>	<b>Nil</b>	<b>5,15,00,000</b>

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sr.No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount (in Rs.)
		Mr. Nimish Mehta	Mr. Sudhir Nayak	
	<b>Name</b>	<b>Executive Director</b>	<b>Executive Director</b>	
	<b>Designation</b>			
1	Gross salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	17,04,996	1,07,500	18,12,496
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	Nil	Nil	Nil
	(c) Profits in lieu of salary under Section 17(3) of the Income tax Act, 1961	Nil	Nil	Nil
2	Stock Option	Nil	Nil	Nil
3	Sweat Equity	Nil	Nil	Nil
4	Commission – as % of Profit – others, specify	Nil	Nil	Nil
5	Others, Please specify	Nil	Nil	Nil
	<b>TOTAL(A)</b>	<b>17,04,996</b>	<b>1,07,500*</b>	<b>18,12,496</b>
	Ceiling as per the Act			Not Applicable

\* Mr. Sudhir Nayak is appointed as Additional Director w.e.f 14<sup>th</sup> February, 2019, hence remuneration mentioned is w.e.f 14<sup>th</sup> February, 2019.

**B. Remuneration to other Directors:-**

Sr. No.	Particulars of Remuneration	Name of the Directors	Total Amount
1	<b>Independent Director</b>		
	- Fee for attending board/Committee meetings	Nil	Nil
	- Commission	Nil	Nil
	- Others	Nil	Nil
	<b>Total (1)</b>	<b>Nil</b>	<b>Nil</b>
2	<b>Other Non-Executive Director</b>	Nil	Nil
	- Fee for attending board/Committee meetings	Nil	Nil
	- Commission	Nil	Nil
	Others, please specify	Nil	Nil
	<b>Total (2)</b>	<b>Nil</b>	<b>Nil</b>
	<b>Total (B)=(1+2)</b>	<b>Nil</b>	<b>Nil</b>
	Total Managerial Remuneration (A+B)	<b>18,12,496</b>	
	Overall ceiling as per the Act	Not Applicable	

**C. Remuneration To Key Managerial Personnel Other Than MD/Manager/WTD: NIL**

Sr. No.	Particulars of Remuneration	Name of Key Managerial Personnel	Total Amount
1	<b>Gross salary</b>		
	(a). Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	Nil	Nil
	(b). Value of perquisites u/s 17(2) of the Income tax Act, 1961	Nil	Nil
	(c). Profits in lieu of salary under Section 17(3) of the Income tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	<b>Commission</b> - as % of Profit - others, specify	Nil Nil	Nil Nil
5	Others, Please specify	Nil	Nil
	<b>TOTAL</b>	<b>Nil*</b>	<b>Nil</b>

\*Mr. Akshay Rane, Company Secretary of the Company receives remuneration from the Holding Company i.e Fine Estates Private Limited.

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: NIL**

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding Fees imposed	Authority [RD/NCLT/Court]	Appeal made, if any (give details)
<b>A. Company</b>					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
<b>B. Directors</b>					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
<b>C. Other Officers in Default</b>					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

By order of the Board  
For A K Equities Private Limited

  
Nimish Mehta  
Director  
DIN:00026930



  
Sudhir Nayak  
Director  
DIN: 08361068

Place: Mumbai  
Date: June 14, 2019